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## FIELD OF THE INVENTION

## GLOSSARY OF TERMS USED

Hyperlink: A network addressing tool embedded in a user-understandable displayed and/or highlighted item, such as a word, phrase, icon or picture. A URL can be accessed by means of its corresponding Hyperlink. When a user on a client machine selects the highlighted hyperlink through the user interface, the underlying item is then retrieved to the client supporting a Web browser.

<sup>15</sup> all of which are assigned to International Business Machines Corporation, and which are referenced herein.

In order to explore the Web today, the user loads a special navigation program, called a "Web browser" onto his computer. A browser is a program which is particularly tailored for facilitating user requests for Web pages by implementing hyperlinks in a graphical environment. If a word or phrase, appearing on a Web page, is configured as an hyperlink to another Web page, the word or phrase is typically given in a color which contrasts with the surrounding text or background, underlined, or otherwise highlighted. Accordingly, the word or phrase defines a region, on the

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graphical representation of the Web page, inside of which a mouse click will activate the hyperlink, request a download of the linked-to page, and display the page when it is downloaded.

There are a number of browsers presently in existence and in use. Common examples are the NetScape, Microsoft, Mosaic, and IBM's Web Explorer browsers. Browsers allow a user of a client to access servers located throughout the world for information which is stored therein. The information is then provided to the client by the server by sending files or data packets to the requesting client from the server's storage resources.

Part of the functionality of a browser is to provide image or video data. Web still image or video information can be provided, through a suitably designed Web page or interface, to a user on a client machine. Still images can also be used as Hypertext-type links, selectable by the user, for invoking other functions. For instance, a user may run a video clip by selecting a still image.

A user of a Web browser who is researching a particular area of interest will often want to make a content-based search, over as many Web pages as practicable, to identify Web pages whose content relates to the area of interest. To meet this need, search engines have been developed, which execute keyword-based searches to find Web pages whose content satisfies logical constraints given in terms of the keywords. Examples are Yahoo and AltaVista.

To be effective, a search engine must effectively identify content, capturing relevant pages and discarding irrelevant pages. This effectiveness relies partly on the user's skill at crafting a keyword search command, and partly on the search engine's ability to avoid false hits and false misses. The latter factor is a function of the design of the search engine.

Thus, an important design objective in an Internet/Web search engine is to facilitate the user's desire to find Web pages whose content matches what he/she desires. There is a significant need for systems and techniques which facilitate higher quality search results.

A number of current methods provide mechanisms for searching in such an environment. Most current methods in use perform searching by computing some type of similarity measure between the terms appearing in the user's query string and the words appearing in the set of pages. The pages that score highest under this similarity measure are then deemed to be the most relevant.

In a hyper-text environment that is sufficiently large and unstructured, this approach has the following limitation. For queries that are sufficiently "general" in nature, a search based on term-matching can easily return several thousand pages that are highly "relevant" to the query, in the sense that they score highly under the term-based similarity measure. This results in a volume of output much greater than a human user can digest.

There is a need, therefore, for techniques which allow a user to find, from among a large set of pages which are relevant in the sense of term matching, those fewer pages which can be of particular help to the user in his/her quest for desired information.

Some conventional techniques have made use of pointers (e.g., hyperlinks) to and from an initial set of information items. See Kochtanek, "Document Clustering, Using Macro Retrieval Techniques," *Journal of the American Society for Information Science*, vol. 34, no. 5, September 1983, pp. 356-359. However, there remains a need for further, more sophisticated techniques that produce better quality information for the user.

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## SUMMARY OF THE INVENTION

It is therefore an object of the invention to provide a new strategy and technique for obtaining desired information in a hyperlink environment.

It is a further object of the invention to find, from among a collection of information resources such as Web pages, where content-based links (e.g., hyperlinks) exist between different information resources, a set of information resources which satisfy a desired criterion.

It is a further object of the invention to find, from among a collection of information resources such as Web pages, where content-based links (e.g., hyperlinks) exist between different information resources, a set of information resources which are, in a sense, "authoritative" as to a particular subject.

It is a further object of the invention to find, from among a collection of information resources such as Web pages, where content-based links (e.g., hyperlinks) exist between different information resources, a set of information resources which are, in a sense, "authoritative" as to a particular subject, responsive to a query directed to that subject.

To achieve these and other objects, the present invention is directed to a method and system for automatically identifying the most authoritative pages from among a large set of hyperlinked pages. (Note that the term "page" will be used for the sake of brevity, without limiting or detracting from the meaning denoted or implied by the broader term "information resources.") A user may use the invention if he/she has a page, whose content is of interest, and desires to find other pages which are authoritative as to that content of interest.

Alternatively, the user might begin with a query, such as a keyword-based search strategy in a Web search engine, and retrieve a set of pages that satisfy that query. The invention is then utilized to find a set of pages which are authoritative as to the subject matter in the pages located. This set of pages produced by the invention may include a subset of the retrieved pages, as well as pages not retrieved but which are linked to pages that were retrieved.

The method of the invention includes the following steps:

First, an initial set of pages is obtained. The method may begin with a single page, where the content of that page is of interest, or with a group of pages, for instance produced as a result of a keyword-based query by a Web search engine. Because of the content-based links (e.g., hyperlinks) between the pages, there will be a certain number of additional pages linked to or from the single page, or group of pages. The initial set, then, includes the single page or group of pages, plus the linked pages.

Then, authoritativeness information is obtained for the pages of the initial set. The authoritativeness information exists on a per page basis, and is related to the number of links to or from the page. At first, the links are simply counted. In a preferred class of embodiments, however, a sequence of iterations are performed, in which the authoritativeness information, in the form of scores such as numerical scores, is produced, for each given page in each successive iteration, by summing the scores, from the previous iteration, of pages linked to or from the given page. Preferably, the scores are normalized after each iteration. It can be proven that the scores obtained in this fashion will converge.

Finally, "neighborhoods" or "communities" of pages are obtained from the resultant authoritativeness information. A

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single neighborhood may be obtained, or several distinct neighborhoods may be obtained by partitioning the scores into ranges.

The basic concept of the invention has applicability in numerous areas. For instance, in a population of computer network users, links may take the form of E-mail messages from one user to another. The invention may be practiced to define communities of users, within the population, which communicate with each other a lot, or to identify individual users, which are authoritative sources of information, based on the number of E-mail messages they receive, and from whom they are received.

Another area in which the invention may be applied is the area of telephone call records. As above, certain telephone subscribers may be considered authoritative by virtue of receiving a large number of calls from a distinct segment of the telephone subscriber population. These population segments, and the authoritative subscribers, may be identified through use of the invention.

However, it is believed that the invention has particular applicability to the World Wide Web. A user, having interest in a particular area of subject matter and seeking Web pages related to that subject matter, may advantageously use the invention to locate authoritative pages on that subject matter.

While the invention is primarily disclosed as a method, it will be understood by a person of ordinary skill in the art that an apparatus, such as a conventional data processor, including a CPU, memory, I/O, program storage, a connecting bus, and other appropriate components, could be programmed or otherwise designed to facilitate the practice of the method of the invention. Such a processor would include appropriate program means for executing the method of the invention.

Also, an article of manufacture, such as a pre-recorded disk or other similar computer program product, for use with a data processing system, could include a storage medium and program means recorded thereon for directing the data processing system to facilitate the practice of the method of the invention. It will be understood that such apparatus and articles of manufacture also fall within the spirit and scope of the invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a flowchart illustrating a first preferred embodiment of the method of the invention.

FIG. 2 is a flowchart showing, in more detail, a portion of the flowchart of FIG. 1.

FIG. 3 is a schematic diagram of sets of pages for illustration of the steps executed by FIG. 2.

FIGS. 4 and 5 are schematic diagrams illustrating steps of the flowchart of FIG. 1.

FIG. 6 is a flowchart illustrating a second preferred embodiment of the method of the invention.

FIG. 7 is a flowchart showing, in more detail, a portion of the flowchart of FIG. 6.

#### DESCRIPTION OF THE PREFERRED EMBODIMENT

##### GENERAL DISCUSSION OF "AUTHORITATIVENESS"

In accordance with the invention, a searching method, or a search engine, goes beyond the notion of "relevance," judged in terms of satisfaction of a keyword search strategy, to the notion of "authoritativeness."

As with the notion of relevance, the evaluation of authoritativeness necessarily depends on human judgment. In other words, the notion of authoritativeness may be subjective, or may depend on a variety of factors.

However, in a large hyper-media environment, it is possible to judge authoritativeness by making use of the judgment of users who have already created links in the environment. Thus it is our thesis here that, to a large extent, the authority, or authoritativeness, of a page can be determined by making use of the existing link structure of the environment. Because the existing link structure is objectively observable, it is possible to automate the evaluation of authoritativeness.

The method of the invention, in its preferred embodiments to be described below, is predicated on the notion that, in a hyper-media environment such as the World Wide Web, there are in fact two distinct types of authoritative pages that are of value to a user. Heretofore the terms "authority," "authoritative," etc., have been used generically. In the discussion which follows, the terms will be used more specifically to denote one of the two distinct types. (Overall, it is hoped that the context of a given portion of the discussion will make clear whether the term is being used in the generic sense, or in the narrower sense.)

First, there are pages that will be termed "authorities." Authority pages correspond intuitively to "definitive references" on the query topic, and receive a large amount of user traffic. In hyperlinked environments, an authority is preferably a page to which there are links in a large number of other pages on related subject matter. An authority page is regarded as authoritative as to a topic in the sense that it is the destination of a large number of links related to that subject matter.

Second, there are pages that will be termed "hubs." A hub is a page containing a large number of links to other pages. Hub pages correspond intuitively to the "hot-lists," bookmark collections, and other compendia of resources that have been compiled by individual creators of pages. A hub page is regarded as authoritative in the sense that a large number of other related pages can be found by following its links.

Authorities and hubs have what could be termed a self-reinforcing relationship. That is, if H is a set of pages that are potential hubs, then the pages that they point to the most become candidate authorities. Conversely, if A is a set of pages that are potential authorities, then the set of pages that point to them the most become candidate hubs.

The method of the invention makes use of this relationship between hubs and authorities. Preferred embodiments of the invention are implemented as a method which iteratively follows links backward to hubs and forward to authorities, keeping authoritativeness information, preferably in the form of scores which reflect the number of links.

It is possible to obtain useful results by running only a single iteration. In that case, it is not really meaningful to call the single execution an "iteration." Rather, the method simply executes a sequence of steps once, and then is finished.

Successive iterations, however, cause the scores for the various pages to converge to a set of authorities and hubs, including a neighborhood having maximal scores. It can be proved that, upon successive iterations, the scores converge to final values, and that the resulting equilibrium state satisfies a precise version of the above self-reinforcing property of authorities and hubs. See F. Narin et al., "Bibliometrics," Annual review of Information Science and Technology, White Plains, N.Y.: Knowledge Industry Publications, Inc., 1977, pp. 35-58.

Note, by the way, that depending on the particular way in which scores are computed, they can have positive or negative values. The signs of the scores have no inherent

meaning, and are significant only to the extent that they identify distinct neighborhoods.

The method can be directly extended to produce several, relatively disjoint, communities of authorities and hubs. The method of the invention, when practiced in such a fashion, serves a clustering function. That is, the more disjoint these communities are, the more they are capable of corresponding to intuitive partitions of the query topic. The partitions may be made according to various criteria, including both semantic distinctions and social "clustering" among creators of hyper-links.

#### FIRST EMBODIMENT—A SINGLE NEIGHBORHOOD

Preferred embodiments of the iterative algorithm of the invention will now be described in more detail. The discussion of the first preferred embodiment will make use of FIGS. 1-5.

FIG. 1 depicts the basic iterative algorithm of the invention. Initially, a set of query parameters may be chosen, as suitable for the particular situation. For instance, as per step 2, a number  $m$  of initial pages, a number  $T$  of iterations, and an output size  $k$  may be designated.

One mode of operation for the algorithm is for a user to have in mind a search strategy, such as a logical combination of keywords, defining the desired subject matter. The basic concepts of defining keyword search strategies are well known, and will not be elaborated upon here.

Alternately, the user may make use of any other method for generating an initial set of hyper-linked pages. For instance, if the user knows of one page with subject matter of interest, and seeks to find authoritative pages as to that subject matter, the initial set may be obtained merely by finding other pages linked to or from that page.

In any event, the result will be an initial set  $P$  of pages.

Referring to FIG. 1, the initial set  $P$  of pages containing the query string is computed, as above (step 4). Any suitable method of identifying the set  $P$  may be used. A preferred method is via a standard term-matching algorithm. The set  $P$  may be specified as to size, through the use of a selected parameter  $m$ , as discussed in connection with step 2.

In accordance with the invention, the hyperlinks between different pages are used to determine the authoritativeness of pages which have been found. If a given search strategy finds a number of related pages, many of them are likely to have hyperlinks in common, either between each other or to/from other pages in common. If the search also captures a "false hit" of unrelated subject matter, that unrelated page will lack such hyperlinks in common. The invention takes advantage of this fact to establish the authoritativeness of a set of pages.

Preferably, the initial set  $P$  is used to establish a "neighborhood" of common or interconnecting hyperlinks (step 6).

In a preferred embodiment, the method (step 6) for constructing the neighborhood of the set  $P$  of pages is depicted in FIG. 2. The neighborhood itself is shown schematically in FIG. 3. FIGS. 2 and 3 will now be discussed, and afterward, the discussion of FIG. 1 will resume.

Step 8 of FIG. 2 states that we begin with the set  $P$  of pages produced by step 4. That set is shown collectively as 10 in FIG. 3. Individual pages 12 are shown schematically as small circles. Hyperlinks 14 are shown as arrows. A hyperlink 14 is in a page 12 if the tail end of the arrow touches that page, and the hyperlink 14 points to another page 12 touched by the head of the arrow.

In step 16, a set  $Q$  (shown as 18 in FIG. 3) is obtained, consisting of all pages 12 which are pointed to by the pages 12 in the set  $P$  10. The set  $Q$  18 is an initial set of pages which will be referred to as "authorities," meaning that a large number of other pages have links to the authority pages.

In step 20, a set  $R$  (shown as 22 in FIG. 3) is obtained, consisting of all pages 12 which point to the pages 12 in the set  $P$  10. The set  $R$  22 is an initial set of pages which will be referred to as "hubs," meaning that they contain a large number of links to authoritative pages.

When such sets are constructed, since most of the pages 12 making up the sets will likely relate to the desired subject matter, it will also likely be the case that pages in the sets  $Q$  18 and  $R$  22 will have links to each other. One such link 24 is shown, between pages 12 in the sets  $Q$  18 and  $R$  22. This exemplary link further illustrates the concept that a "hub" page (the page 12 in the set  $R$  22 which is the source of the link 24) has a link to an "authority" page (the page 12 in the set  $Q$  18 which is the destination of the link 24).

Therefore, the neighborhood consists of all pages that either point to a page in the set  $P$  10, or are pointed to by a page in the set  $P$  10. In other words, the neighborhood includes the initial set of pages, an initial set of hub pages, and an initial set of authority pages. The neighborhood is defined in software, in a suitable manner for further processing, preferably using conventional database techniques such as graphing or metadata (step 26). To limit the size of the neighborhood, one can optionally impose an upper bound on the allowed number of pages pointing to any single page in  $P$ .

Optionally, a graph such as that shown in FIG. 3 may be constructed for display, to allow the user to see the state of the query.

Returning to FIG. 1, an iterative process is set up for refining the sets of hub and authority pages by alternately finding other pages that the pages of the neighborhood are linked to, and finding other pages that are linked to the pages of the neighborhood. The next several steps of FIG. 1 illustrate a preferred way of doing so.

In step 28, hub and authority vectors  $H$  and  $A$  are defined, where each term of each of the vectors corresponds with one of the pages in the neighborhood. The iterative algorithm is to operate on these vectors.

In the preferred embodiment, the initial values of  $H$  and  $A$  are computed as follows, where  $u$  and  $v$  are pages in the neighborhood:

The vector  $H$  is initialized as follows:

$H[v]=1$  if  $v$  belongs to  $P$

$H[v]=0$  if  $v$  does not belong to  $P$

$A[v]=0$  for all pages  $v$

The entries in these two vectors are now updated iteratively (step 30). One preferred method for performing this updating is given in flowchart form in the next several steps of FIG. 1, and depicted graphically in FIGS. 4 and 5.

If  $u$  and  $v$  are pages, let  $u \rightarrow v$  denote the presence of a link from  $u$  to  $v$ . Then the values of the terms of the hub and authority vectors  $H$  and  $A$  are updated as follows:

These two equations are shown respectively as steps 32 and 34 in FIG. 1. Equation (1) is illustrated in FIG. 4, in which three pages  $u_1$ ,  $u_2$ , and  $u_3$  have links to a page  $v$ . The authority vector's term  $A[v]$  for the page  $v$  is the sum of the hub vector values  $H[u_1]$ ,  $H[u_2]$ , and  $H[u_3]$  for the three pages  $u_1$ ,  $u_2$ , and  $u_3$ .

Similarly, Equation (2) is illustrated in FIG. 5, in which a page  $v$  has links to

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$$H[v] \leftarrow \sum_{u \rightarrow v} A[u] \quad (1)$$

$$A[v] \leftarrow \sum_{u \leftarrow v} H[u] \quad (2)$$

three pages  $u_1$ ,  $u_2$ , and  $u_3$ . The hub vector's term  $H[v]$  for the page  $v$  is the sum of the authority vector values  $A[u_1]$ ,  $A[u_2]$ , and  $A[u_3]$  for the three pages  $u_1$ ,  $u_2$ , and  $u_3$ .

It will be seen that, as these iterations are performed, the values of the terms of the hub and authority vectors will increase. Accordingly, the vectors are preferably normalized, to prevent the numerical values from growing too large (step 36). One preferred normalization method is the following:

$$A[v] \leftarrow \frac{A[v]}{\sum_u A[u]^2} \quad (3)$$

$$H[v] \leftarrow \frac{H[v]}{\sum_u H[u]^2} \quad (4)$$

Following the normalization of step 36, the iteration is complete. Further iterations may follow as appropriate, such as by looping back T times, where T is the number of iterations specified in step 2.

It will be seen that, as the successive iterations proceed, the hub and authority vector values will increase based on the number of links common to the page populations. The pages unrelated to the desired subject matter, which will have relatively few links to the pages related to the desired subject matter, will have relatively low values, and will, in effect, be "weeded out."

When the iterations have been completed, FIG. 1 concludes by outputting its final results. A preferred output technique, given in steps 38 and 40, is to scan the hub and authority vectors H and A, to find the k largest terms, k having been specified in step 2, and being presumptively smaller than the number of pages identified.

Note that steps 28-36 may be executed only a single time, and still obtain useful results. Depending on the particular situation in which the invention is to be practiced, a user may choose to run only a single iteration and accept the results as satisfactory, to run a relatively small number of iterations, such as a fixed number or a number required to reach some extrinsic limit such as a limit imposed by cost or other factors, or to run until convergence of the results is detected.

SECOND EMBODIMENT—SEVERAL NEIGHBORHOODS

The above-described method may be extended to locate several communities of authorities and hubs. Iterations are performed in essentially the same manner as described above, but now, several vectors of each type are maintained. For instance, if there are to be q hub vectors and q authority vectors, representing q number of distinct neighborhoods, then the hub and authority vectors are shown as distinguished by index subscripts, as follows:  $A_0, \dots, A_q$  and  $H_0, \dots, H_q$ .

FIG. 6 is a flowchart, comparable to that of FIG. 1, showing a preferred embodiment of the invention where a plurality of neighborhoods are to be found. Certain steps which were shown in FIG. 1 have been omitted from FIG. 6 for the sake of brevity. It will be understood, however, that these omitted steps are to be included, as appropriate.

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Initially, the implementation of FIG. 6 chooses the additional input parameter q, a number of neighborhoods (i.e., of hub and authority vectors) to be found (step 42). In step 44, initial values for the terms of the hub and authority vectors are set.

However, the initialization is preferably performed in a different manner from what was done in step 28 of FIG. 1. The objective of this embodiment is to come up with distinct neighborhoods. Consequently, it is necessary that the final result of the iterations be multiple distinct vectors. In order for the iterations to converge to multiple distinct vectors, it is necessary that no two of the vectors become equal during the course of the iterations.

For this purpose, the vectors are initialized so as to be orthogonal. Moreover, following each iteration, they are again updated so as to remain orthogonal. This updating step can be accomplished by the standard Gram-Schmidt procedure, as given in G. Golub, C.F. Van Loan, "Matrix Computations", Johns Hopkins University Press, 1989.

In light of the foregoing, the preferred embodiment of the invention is as follows: Before the iterations begin, in step 46 the hub vectors are orthogonalized. The initial orthogonalization may conveniently be performed by assigning each coordinate a real-number value chosen uniformly at random from the interval [0,1].

The iterations are now performed (step 48). For a given iteration, the summing, similar to those given above in Equations (1) and (2), is done separately over each pair of hub and authority vectors ( $A_i, H_i$ ).

At the end of each iteration, the vectors are modified to be mutually orthogonal. This can be accomplished by the standard Gram-Schmidt procedure given in G. Golub (supra).

A preferred sequence of the steps of an iteration are given in FIG. 6, as follows: In step 50, the authority vectors are updated. When they are all updated, they are then orthogonalized (step 52). Then, in step 54, the hub vectors are updated. When they are all updated, they are then orthogonalized (step 56). This completes an iteration. The iteration is repeated a desired number of times.

As with the embodiment of FIG. 1, the largest (positive) entries of  $A_0$  and  $H_0$  are returned as the primary hubs and authorities. One can then define 2q additional authority/hub communities, by taking the q most positive and the q most negative entries from each of the pairs of vectors ( $A_i, H_i$ ), for  $i=1, \dots, q$ .

Note that the Gram-Schmidt procedure, which includes subtractions, can produce negative values for vector terms. The positivity or negativity of the entries does not have a direct meaning in the context of the method. Rather, a more significant meaning is attributed to the magnitudes, i.e., absolute values, of the terms. In general, the more links to or from a page, or, more broadly, the greater the authoritativeness of the page as to the desired subject matter, the greater the magnitude of the value will be.

The noteworthy property of the entries, taken as a group, is simply that they may be partitioned into two or more communities, based on their ranges of values. It may be convenient or desirable, where one set is positive and the other set is negative, to partition at the zero value. However, it is not crucial that the partitions be evenly distributed or symmetric. More generally, any subset of the communities can be returned, possibly according to additional criteria imposed by the user on the set of pages.

For discussion purposes, however, an example will be given in which partitioning is to be symmetric about the zero point.